

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 May 2001 (25.05.2001)

PCT

(10) International Publication Number  
**WO 01/37306 A1**

(51) International Patent Classification<sup>7</sup>: H01J 7/24,  
H05B 31/26

[US/US]; 332 E. Fairmont Drive, Tempe, AZ 85282 (US).  
VERDEYEN, Joseph, T. [US/US]; 8 Azalea Court, Savoy,  
IL 61874 (US).

(21) International Application Number: PCT/US00/19540

(22) International Filing Date: 20 July 2000 (20.07.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/166,418 19 November 1999 (19.11.1999) US

(74) Agents: WEIHROUCH, Steven, P. et al.; Oblon, Spivak,  
McClelland, Maier & Neustadt, P.C., Crystal Square Five,  
4th floor, 1755 Jefferson Davis Highway, Arlington, VA  
22202 (US).

(81) Designated States (*national*): CN, JP, KR, US.

(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE).

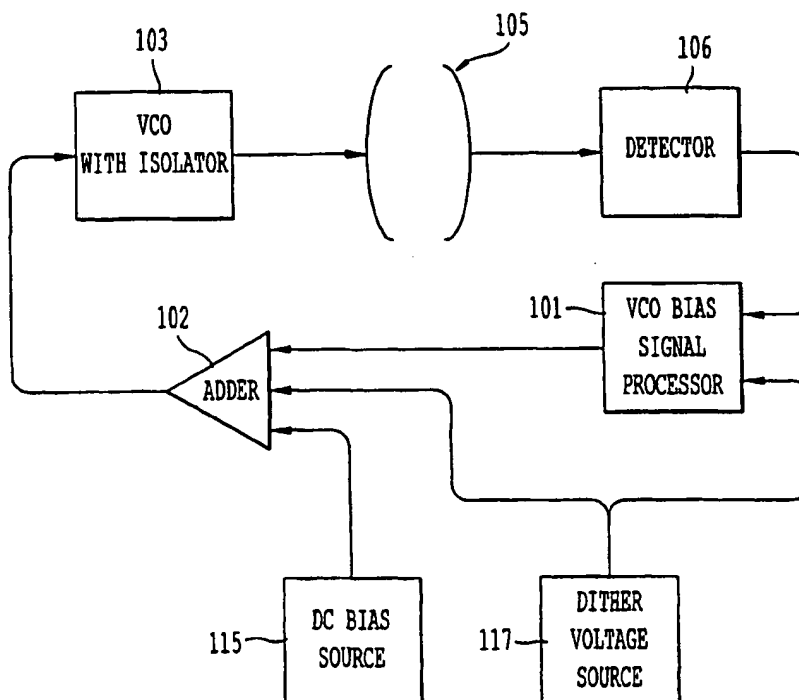
(71) Applicant (*for all designated States except US*): TOKYO  
ELECTRON LIMITED [JP/JP]; TBS Broadcast Center,  
3-6, Akasaka 5-chome, Minato-ku, Tokyo 107 (JP).

Published:  
— With international search report.

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(72) Inventors; and  
(75) Inventors/Applicants (*for US only*): SIRKIS, Murray, D.

(54) Title: STABILIZED OSCILLATOR CIRCUIT FOR PLASMA DENSITY MEASUREMENT



(57) Abstract: A method and system for controlling electron densities in a plasma processing system (105). By applying a dither voltage (117) and a correction voltage to a voltage-controller oscillator (101), electron density of an open resonator plasma processing system (105) may be measured and controlled as part of a plasma-based process.

TITLE OF THE INVENTION

Stabilized Oscillator Circuit for Plasma Density Measurement

CROSS-REFERENCE TO RELATED APPLICATIONS

The present application claims priority under 35 U.S.C. 119(e) to U.S. provisional application serial number 60/144,880, filed July 20, 1999, U.S. provisional application serial number 60/144,878, filed July 21, 1999, U.S. provisional application serial number 60/144,833, filed July 20, 1999, and U.S. provisional application serial number 60/166,418, filed November 19, 1999. Each of those applications is incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTIONField of the Invention

The present invention provides a method and system for measuring and controlling electron densities in a plasma processing system, such as is used in semiconductor processing systems.

Description of the Background

Following the Second World War, several university research groups used microwave technology that had been developed during the war to study partially ionized gases. In particular, Professor Sanborn C. Brown's group at Massachusetts Institute of Technology developed and exploited the so-called "cavity technique" for the measurement of electron density in partially ionized, electrically quasi-neutral gases, which have come to be called plasmas.

In this procedure, changes in the resonant behavior of a microwave cavity were studied as a consequence of the presence of a plasma within it. Typically, a right, circularly

cylindrical cavity operating in its lowest or nearly lowest order resonant mode was used, and the gas was contained within a coaxial Pyrex<sup>TM</sup> or quartz tube. An aperture was provided in each planar end surface to permit passage of the tube through the cavity.

The presence of a plasma within a microwave cavity will, in general, affect both the resonant frequency of a particular cavity mode and the sharpness (Q) of the resonance; i.e., the precision with which the frequency of a microwave signal must be fixed if the resonant mode is to be appreciably excited. Using a form of perturbation theory, it is possible to relate the changes in these parameters to the electron density and the electron collision frequency in the plasma. The perturbation theory is valid only for (radian) frequencies that satisfy the condition:

$$\omega^2 \gg \omega_p^2 \cong 3.18 \times 10^9 N_e$$

where  $\omega_p$  is the plasma (radian) frequency, and  $N_e$  is the electron density in electrons/cm<sup>3</sup>. Consequently, for the diagnosis of plasmas with electron densities of the order of 10<sup>12</sup> cm<sup>-3</sup>, the magnitudes of interest here, a microwave signal frequency ( $\omega/2\pi$ ) in excess of tens of GHz is required.

The requirement of signal frequencies on the order of tens of GHz causes a significant problem. The physical dimensions of a cavity designed to resonate in its lowest or nearly lowest order resonant mode are on the order of the wavelength of the signal. Thus, a cavity designed to resonate at about 35 GHz has dimensions on the order of only a centimeter. The use of such a small cavity for electron density measurements is difficult.

In principle it is possible to use a cavity designed to resonate in a "higher order" mode to overcome the problem associated with the small physical size of a lowest or low

order mode. However, if this approach is taken, it becomes extremely difficult to know with certainty the identity of a particular excited cavity mode. Consequently, it becomes practically very difficult, if not impossible, to apply perturbation theory to determine the electron density and the electron collision frequency.

One way to circumvent this problem is to use an "open" resonator, i.e., a resonator in which the electromagnetic field is not confined by a (nearly) completely enclosing conducting surface. A practical example of an open resonator is a pair of large aperture, circularly symmetrical end mirrors, with planar or curved surfaces and with no confining circularly symmetrical conducting surface between them. Open resonators of this type were considered in great detail by A.G. Fox and T. Li in "Resonant modes in a MASER interferometer," *Bell System Technical Journal*, vol. 40, pp. 453-488, March 1961. Fox et al. showed that any mode that could be regarded as including a plane wave component propagating at a significant angle with respect to the axis of symmetry would not be appreciably excited, i.e., would have a very low Q. In effect, for an open resonator, the number of practically useful modes with resonant frequencies in a particular frequency range is far less than the equivalent number for a closed resonator of similar size. This property of open resonators provided an enormous opportunity for researchers to extend resonant plasma diagnostic techniques to frequencies above 35 GHz.

Microwave energy may be coupled from a waveguide feed to an open resonator using the same principles that govern coupling from a waveguide feed to a closed resonator. The location, spatial rotation, and size of a coupling aperture in a resonator mirror has to be appropriately related to the configuration of the electromagnetic field for the desired resonator mode. The input and output coupling apertures may

both be on the same mirror or the input aperture may be on one mirror and the output aperture on the other.

Known electronically tunable microwave oscillators are frequency stabilized with the aid of a resonant cavity and a microwave discriminator. The basic concepts are documented in detail in various M.I.T. Radiation Laboratory Reports and in the Radiation Laboratory Series published by McGraw-Hill in 1947. One use of those oscillators is to cause an electronically tunable oscillator to track the resonant frequency of a microwave resonator as that frequency is changed. An extensive discussion of the techniques is presented in Vol. 11; Technique of Microwave Measurements, M.I.T. Radiation Laboratory Series, Carol H. Montgomery, Editor, McGraw-Hill Book Company, New York, 1947, pp. 58-78 (hereinafter "Montgomery"). The entire contents of Montgomery are hereby incorporated by reference. The use of a microwave interferometer is also described in two known publications: (1) "A Microwave Interferometer for Density Measurement Stabilization in Process Plasmas," by Pearson et al., Materials Research Society Symposium Proceedings, Vol. 117 (Eds. Hays et al.), 1988, pgs. 311-317, and (2) "1-millimeter wave interferometer for the measurement of line integral electron density on TFTR," by Efthimion et al., Rev. Sci. Instrum. 56 (5), May 1985, pgs. 908-910.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide an improved plasma electron density measurement and control system using a microwave oscillator locked to an open resonator containing a plasma.

These and other objects of the present invention are achieved by a circuit for stabilizing the oscillation frequency of a voltage-controlled oscillator (VCO) as part of a system for measuring electron (plasma) density.

### BRIEF DESCRIPTION OF THE DRAWINGS

A more complete appreciation of the invention and many of the attendant advantages thereof will become readily apparent with reference to the following detailed description, particularly when considered in conjunction with the accompanying drawings, in which:

Figure 1 is a graph showing normalized transmission;

Figure 2 is a graph of a discriminator output;

Figure 3 is block diagram of a dither control circuit according to a first embodiment;

Figure 4A is a VCO bias signal processor;

Figure 4B is a timing diagram showing control signals according to the present invention;

Figure 5 is a block diagram showing an expanded system diagram according to a second embodiment; and

Figures 6A and 6B are block diagrams of alternate embodiments of self-clocking VCO bias signal processors.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to the drawings, in which like reference numerals designate identical or corresponding parts throughout the several views, Figure 1 is a graph showing normalized transmission of power in a resonator. It is well known that the transmission of power through a resonator near a resonant frequency  $f_q$  can be expressed in the form:

$$T(\delta) = \frac{T(0)}{1 + (2Q_L \delta)^2} \quad \text{Eq. 1}$$

where

$$\delta = \frac{f - f_q}{f_q} \quad \text{Eq. 2}$$

is the normalized frequency. In these equations,  $f$  is the oscillation frequency,  $f_q$  is the reference frequency (i.e., the resonant frequency of the open resonator for an axial mode; e.g., a TEM<sub>00q</sub> mode),  $Q_L$  is the loaded quality factor (i.e., the loaded  $Q$ ) of the open resonator, and  $T(\delta)$  is the transmitted power.  $T(0)$  is the transmitted power when the oscillation frequency equals the resonant frequency (i.e., when  $f = f_q$ ). As shown in Figure 1, a plot of  $T(\delta)$  as a function of  $\delta$  displays the well-known bell-shaped characteristic with its peak at  $\delta=0$ .

One method of applying power to a resonator is via a voltage-controlled oscillator (VCO). In a VCO, the voltage applied to a control-input terminal controls the oscillation frequency.

Even if the frequency,  $f_s$ , of the VCO is slightly different from  $f_q$ , appreciable power may be transmitted through the open resonator if  $f_q$  and  $f_s$  are close. In practice, this requires that  $|f_s - f_q|$  is less than about  $f_q/Q_L$ . From Equation 2, it follows that

$$\delta_s = \frac{f_s - f_q}{f_q} \quad \text{Eq. 3}$$

Notably,  $\delta_s$  may be either positive or negative. Accordingly, it is assumed that the corresponding VCO control voltage is  $V_s$ , which may also be either positive or negative depending on the device.

Using the difference between the oscillation frequency of the VCO and the resonant frequency of a cavity resonator (either open or closed), it is possible to cause the frequency of oscillation of the VCO to lock on the resonant frequency of the cavity as described below.

One such VCO is a varactor voltage controlled Gunn oscillator similar to the Millitech Model Number WBV-28-

220160RI, that operates in the frequency range from about 34 GHz to about 36 GHz. As would be appreciated by one of ordinary skill in the art, the techniques described herein are also applicable to other kinds of voltage controlled oscillators that operate at very different frequencies (either higher or lower). For the Millitech device,  $V_s$  is negative and the oscillation frequency increases as  $V_s$  becomes more negative. In the following description, behavior similar to the Millitech device's is assumed.

Rather than using a fixed control voltage, it is possible to apply a small amplitude time-varying voltage to the frequency controlling input terminal of the VCO. Thus, the control voltage  $v_c(t)$  may be written in the form:

$$v_c(t) = V_s + v_s \quad \text{Eq. 4a}$$

where  $v_s$ , the so-called "dither voltage," is a function of time. In one embodiment, the dither voltage is a symmetrical square wave. In an alternate embodiment, the dither voltage is a sinusoidal signal.

Let

$$v_s(t) = v_d f(t) \quad \text{Eq. 4b}$$

where  $v_d$  is the peak amplitude of the dither voltage. Then, the function  $f(t)$  is bounded by -1 and 1. When using a symmetrical square wave as a component of the dither voltage, the resulting normalized frequencies may be expressed in the form:

$$\delta_+ = \delta_s - a/2Q_L \quad \text{Eq. 5a}$$

when the square wave is positive, and in the form:

$$\delta_- = \delta_s + a/2Q_L \quad \text{Eq. 5b}$$



when the square wave is negative. That is, it is assumed that a small signal approximation is valid and that the change in  $\delta$  is proportional to the change in the control voltage. The positive parameter "a" is proportional to the square wave amplitude and has been introduced to facilitate numerical calculations. Its value depends on the details of the relationship between the control voltage and the oscillation frequency for the particular VCO, and it can be related to the amplitude of the square wave "dither voltage."

For the sake of discussion,  $\delta_s$  is assumed to be positive (i.e., the operating frequency for  $v_s = 0$  is greater than  $f_q$  so that the operating point is to the right of the peak of the power transmission curve shown in Figure 1).

From Figure 1 and Equations 1, 5a, and 5b, it follows that the power transmission through the resonator will be greater when the square wave is positive ( $\delta = \delta_+$ ) than when the square wave is negative ( $\delta = \delta_-$ ). It is useful to recognize that if  $\delta_s$  were negative rather than positive, then the opposite would be true. A control voltage for the VCO can be derived from the difference between these two transmitted powers. Consider

$$\frac{\Delta T(\delta_s)}{T(0)} \equiv \frac{T(\delta_s - a/2Q_L) - T(\delta_s + a/2Q_L)}{T(0)} = \frac{T(\delta_+) - T(\delta_-)}{T(0)} \quad \text{Eq. 6}$$

With the aid of Equation 1, it can be shown that:

$$\frac{\Delta T(\delta_s)}{T(0)} = \frac{8Q_L\delta_s a}{[1 + (2Q_L)^2(\delta_s^2 + (a/2Q_L)^2)]^2 - (4Q_L\delta_s a)^2} \quad \text{Eq. 7}$$

and that the same expression is also valid if  $\delta_s$  is negative. Equation 7 represents an asymmetric function of  $\delta_s$  as shown in the graph of Figure 2.

The power transmitted through the resonator may be sampled and measured using a crystal diode detector, for which the voltage output is very nearly proportional to the power incident upon it. Therefore, a circuit that can appropriately process the voltage output of a crystal diode that responds to the power transmitted through the resonator can be used to produce an output voltage that is essentially proportional to  $\Delta T(\delta_s)$  as given by Equation 7.

The voltage produced by the control circuit will be positive when the oscillation frequency  $f_s$  is greater than the reference frequency  $f_q$ , 0 when  $f_s = f_q$ , and negative when  $f_s$  is less than  $f_q$ . This correction voltage  $V_{corr}$ , which will typically be a slowly varying or quasi-DC voltage will be fed to the frequency controlling voltage input terminal of the VCO in series with the DC voltage  $V_s$  and the dither voltage  $v_s$ . Consequently, with the addition of the correction voltage  $V_{corr}$ , Equation 4 becomes:

$$V_C = V_s + v_s + V_{corr} \quad \text{Eq. 8}$$

An exemplary range for the dither voltage amplitude (peak-to-peak) is 1 to 50 mV. In general, the dither amplitude should be chosen such that it is less than approximately one half the detected voltage at the cavity resonance being tracked and, preferably as small as possible. Secondly, it should be noted that for robust locking over a range of RF power and pressure, the dither voltage amplitude and/or dither frequency may be varied. Thirdly, the dither frequency should range from 1 kHz to 100 kHz.

Figure 3 is a block diagram of a stabilization system based on the transmission properties discussed above. When the

stabilization circuit is properly adjusted, the frequency of the VCO (with an internal isolator) 103 is locked to (i.e., is virtually the same as) the resonant frequency of the open resonator 105. If the resonant frequency of the open resonator 105 changes, the oscillation frequency of the VCO 103 will change by an equivalent amount and the VCO 103 will continue to excite the open resonator 105 at its changed resonant frequency.

As shown in Figure 3, the VCO 103 is coupled to an open microwave resonator 105. The microwave resonator 105 is used as a transmission cavity and includes reflecting mirrors at each end. The resonator 105 has separate input and output apertures, both of which may be on the same mirror, or the input aperture may be on one mirror and the output aperture on the other. The latter configuration may be preferable to lessen the likelihood that undesired off-axis modes will be excited or detected.

Coupled to the output aperture of the resonator 105 is a detector 106 (e.g., a crystal detector) for detecting the power transmitted through the resonator 105. The detector 106 and a dither voltage source 117 are connected to a VCO bias signal generator 101 (shown in greater detail in Figure 4). The outputs of (1) the VCO bias signal generator 101, (2) the dither voltage source, and (3) the DC bias source are fed to the adder 102 that outputs the control voltage back to the VCO 103.

A block diagram of one embodiment of the VCO bias signal processor 101 is shown in Figure 4A. The dither (or clock) signal from dither voltage source 117 is processed by high and low sample and hold circuits (124 and 125, respectively) to provide high and low sample command signals, respectively, that act as the two phases of the dither signal. The high sample command signal permits the sample-and-hold circuit 124 to monitor the output of amplifier 126 only when the dither

(or clock) signal is positive. Likewise, the low sample command signal permits the sample-and-hold circuit 125 to monitor the output of amplifier 126 only when the dither (or clock) signal is negative. The relative timings of the command signals is shown in Figure 4B. Differential amplifier 123 provides the difference of the outputs of the sample-and-hold circuits 124 and 125, and the integrator 122 limits the effects of transients to acceptable levels.

In addition, the integrator 122 facilitates locking the VCO 103 onto the desired open resonator mode at start-up. For example, at start-up, the VCO DC bias voltage,  $V_s$ , may be set to a value corresponding to an oscillator frequency,  $f_s$ , slightly above the frequency of the desired open resonator mode,  $f_q$ . The capacitor in the integrator 122 may then be charged to a voltage that when added algebraically to  $V_s$  by adder 102 results in an oscillator frequency slightly less than  $f_q$ . As the integrator capacitor discharges, the frequency  $f_s$  will increase and approach  $f_q$  and at some frequency during this approach the stabilization circuit will engage.

A block diagram of one embodiment of a plasma generator control system according to the present invention is shown in Figure 5. Other embodiments are, of course, possible. Some of these alternate embodiments may not include such complete control of the circuit function by means of the DSP 109. Note that the determination of the control voltage for the plasma generator in the present invention is accomplished without the use of a microwave discriminator, such as discriminators 110 and 110' in Figures 4 and 5 of the co-pending application 60/144,880.

The digital signal processor (DSP) 109 shown in Figure 5 responds to three inputs: (1) the digital equivalent of the voltage at the frequency controlling input terminal of the VCO input to the DSP via A-to-D 108; (2) one of either the desired voltage at the frequency controlling input terminal of the

VCO, or the desired mean plasma density in the open resonator, or the desired frequency of the VCO; entered by means of a keyboard at the data input terminal or a potentiometer with the aid of an A/D converter as shown in Figure 5; and (3) the signal from a counter connected to the detector at the output of the open resonator. The DSP 109 provides output signals to control at least one of (1) the output power of the plasma generator; (2) the DC bias voltage for the VCO; (3) the amplitude of the dither voltage signal for the VCO; (4) the dither frequency; and (5) a display means. The output signal from the DSP to the plasma generator 120 adjusts the power provided by the plasma generator to the plasma chamber 105 as required to achieve the desired process parameters. Figure 5 includes a directional coupler 104 and a frequency meter 118 between the VCO 103 and the plasma chamber 105 to permit an independent determination of the oscillator frequency whenever it may seem prudent to do so. No discrete isolator is shown between the VCO and the plasma chamber in Figure 5 because it is assumed that the VCO includes an integral isolator. However, if the VCO does not include an integral isolator, an isolator should be inserted in the circuit between the VCO and the plasma chamber.

A change in the plasma generator control voltage causes the plasma density to change which in turn causes the resonant frequency of the open resonator to change and, therefore, the output of the detector to change. The detector signal causes the VCO bias signal processor output to change and the voltage applied to the VCO frequency controlling input to change. Consequently, the oscillation frequency of the VCO changes. The DSP compares the digital equivalent of the VCO control voltage to the desired value based upon the input data and sends an appropriate control signal to the plasma generator.

If the electromagnetic field in the open resonator collapses due to loss of control of the VCO frequency for any

reason, the output of the detector in the output line of the open resonator will drop to zero and the counter 107 will record the event. If such an event takes place, the frequency of the VCO is no longer controlled by the resonant frequency of the open resonator, and "loss of lock" has occurred. Compending application 60/144,880 considers in some detail the issue of "loss of lock." Loss of frequency control can be used to instruct the DSP to initiate an algorithm to reestablish frequency lock or to alert an equipment operator to loss of control and the possibility of equipment malfunction. It should be noted that a zero output from the detector implies that the VCO frequency is not locked to a resonant frequency of the open resonator.

The sampling period of the system is chosen to ensure that "loss of lock" will be recognized by the DSP. The DSP may then employ various algorithms to reestablish lock between the VCO frequency and the resonant frequency of the open resonator. A first such algorithm calculates an expected plasma density based on one or more measured parameters such as radio frequency (RF) power, gas pressure, gas flow rate, plasma chamber temperature, and plasma optical signature. The DSP then searches for a discriminator zero (e.g., as shown in Figure 2) within prescribed frequency limits. A second such algorithm measures the frequency differences between adjacent resonant modes to determine an approximate plasma density and then conducts a search for a discriminator zero in the neighborhood of the so-determined plasma density.

As depicted in Figure 5, the VCO bias signal processor has been replaced with a self-clocking VCO bias signal processor. In the embodiment illustrated in Figure 6A, the VCO bias signal processor includes a VCO bias signal processor 101 and a dither voltage source 117. In the embodiment illustrated in Figure 6B, the VCO bias signal processor includes a signal processor comprising a lock-in amplifier

that includes a sinusoidal dither voltage signal. Lock-in amplifiers are especially useful for processing weak signals in the presence of noise, which is advantageous for the present application. The operation of lock-in amplifiers has been described elsewhere. (*Lock-in amplifiers: principles and applications*, by M.L. Meade, Peter Peregrinus, Ltd., London, 1983. See also, Robert D. Moore, "Lock-in amplifiers for signals buried in noise," *Electronics*, Vol. 35, pp. 40-43, June 8, 1962.)

If the analysis of the resonant behavior of the open resonator is approached from an optical perspective, rather than from a microwave circuit perspective employed earlier in this disclosure, an equation that is equivalent to Eq. 1 may be obtained. Let the resonant frequency of an on-axis mode (e.g., a TEM<sub>00q</sub> mode, which is the mode of interest here), be  $f_q$ , where

$$f_q \equiv \frac{c}{2d} \left( q + \frac{1}{2} \right) \quad \text{Eq. 9}$$

(Note: The usual notation for the resonant frequency of the TEM<sub>00q</sub> mode is  $f_{00q}$ , but because these are the only modes of interest here, the resonant frequency  $f_{00q}$  is represented by the simpler notation  $f_q$ .)

The normalized power transmission through the open resonator  $T(f)$  may be expressed by:

$$T(f) = \frac{T(f_q)}{1 + \left[ \frac{2F}{\pi} \right]^2 \sin^2 \left( \frac{2\pi f d}{c} \right)} \quad \text{Eq. 10}$$

where  $f$  is the frequency,  $c$  is the speed of light in vacuum,  $d$  is the mirror separation, and  $F$  is the so-called finesse, which is defined as  $(c/2d)$  divided by the difference between

the two frequencies nearest  $f_q$  for which  $T(f) = (1/2)T(f_q)$ . Consider a Taylor series expansion of  $T(f)$  in the neighborhood of  $f_s$ , where  $f_s$  is a frequency for which Eq. 10 is valid:

$$T(f) \approx T(f_s) + \left( \frac{\partial T}{\partial f} \right)_{f=f_s} (f - f_s) + \frac{1}{2} \left( \frac{\partial^2 T}{\partial f^2} \right)_{f=f_s} (f - f_s)^2 + \Lambda \quad \text{Eq. 11}$$

In general the frequency change  $f - f_s$  is related to a corresponding change in the control voltage; i.e., if  $f - f_s$  is not too large one may write

$$f - f_s \approx \left( \frac{\partial f}{\partial v_c} \right) (v_c - V_s) \quad \text{Eq. 12}$$

where  $V_s$  is the DC control voltage for which the oscillator frequency is  $f_s$ , and the derivative is to be evaluated for  $v_c = V_s$ .

Assume that the dither voltage is given by:

$$v_d = V_d \cos(\omega_d t) = v_c - V_s \quad \text{Eq. 13}$$

Then with the aid of Eqs. 11, 12, and 13, one may obtain an expression for the normalized average power transmitted through the cavity as a function of time, where the average is taken over a time interval that is long compared to the period of the microwave signal but short compared to the period of the dither signal. The result is:

$$P_{\text{AVG}} \approx T(f_s) + \left( \frac{\partial T}{\partial f} \right)_{f=f_s} \left[ \left( \frac{\partial f}{\partial v_c} \right)_{v_c=V_s} V_d \cos(\omega_d t) \right] + \frac{1}{2} \left( \frac{\partial^2 T}{\partial f^2} \right)_{f=f_s} \left[ \left( \frac{\partial f}{\partial v_c} \right)_{v_c=V_s} V_d \cos(\omega_d t) \right]^2 + K \quad \text{Eq. 14}$$



The output voltage of a square law detector (e.g., a crystal detector) connected to the output port of the open resonator will be proportional to  $P_{AVG}$ . The second term in Eq. 14 is of special interest here, for it leads to the error signal for adjusting the frequency of the VCO. In this embodiment a lock-in amplifier is used to obtain the desired error signal.

The reference voltage  $V_d \cos(\omega_d t)$  of the lock-in amplifier also serves as the dither voltage. The lock-in amplifier includes an integrator and produces a nominally time-independent output voltage,  $v_{out}$ , which may vary if the amplitude and phase of the input signal change slowly with respect to the phase of the reference signal. For the application of interest here, the output voltage  $v_{out}$  is approximately given by:

$$v_{out} = K \left( \frac{\partial T}{\partial f} \right)_{f=f_s} \left( \frac{\partial f}{\partial v_C} \right)_{v_C=V_s} V_d \quad \text{Eq. 15}$$

where  $K$  is a constant of proportionality. Note that the algebraic sign of  $v_{out}$  depends on whether  $f_s$  is greater than or less than  $f_q$ , because the slope of  $T(f)$  is positive when  $f$  is less than  $f_q$  and negative when  $f$  is greater than  $f_q$ . (This algebraic sign change is equivalent to a 180 degree phase change.) The voltage  $v_{out}$  provides the feedback voltage for controlling the frequency of the VCO 103 through the adder 102. Depending on the voltage characteristic of the VCO 103, however, it may be necessary to insert an inverting amplifier between the lock-in amplifier and the adder to ensure that the algebraic sign of the error voltage at the frequency controlling input terminal of the VCO will cause the VCO frequency to move toward  $f_q$ , rather than away from it.

The ability of a lock-in amplifier to detect weak signals in the presence of noise is due to its use of an internal narrow-band amplifier and an internal integrator. However, the ability of a lock-in amplifier to amplify accurately a signal for which the amplitude and phase vary with time is limited by its so-called "settling time." For use in the application considered here, the settling time must not be too great. Otherwise, the VCO may not be able to remain locked to the resonant frequency of the open resonator as the plasma density changes, especially upon initiation of the plasma.

The digital signal processor 109 is programmed to control at least one of (a) the output power of the plasma generator; (b) the DC bias voltage for the VCO; (c) the reference (i.e., dither) voltage amplitude  $V_d$ ; (d) the reference signal frequency  $\omega_d$ ; (e) the gain of the lock-in amplifier; (f) the settling time of the lock-in amplifier; and (g) a display means.

Obviously, numerous modifications and variations of the present invention are possible in light of the above teachings. It is therefore to be understood that, within the scope of the appended claims, the invention may be practiced otherwise than as specifically described herein.

## CLAIMS

1. In a circuit for controlling a plasma chamber with a voltage controlled oscillator controlled by a feedback signal, the improvement comprising:

a dither voltage source for generating a dither voltage;

an adder for adding the dither control voltage source to the feedback signal and for applying an output of the adder to a voltage control input of the voltage controlled oscillator.

2. In the circuit as claimed in claim 1, the improvement further comprising a voltage control bias signal generator for generating the feedback signal from a detector.

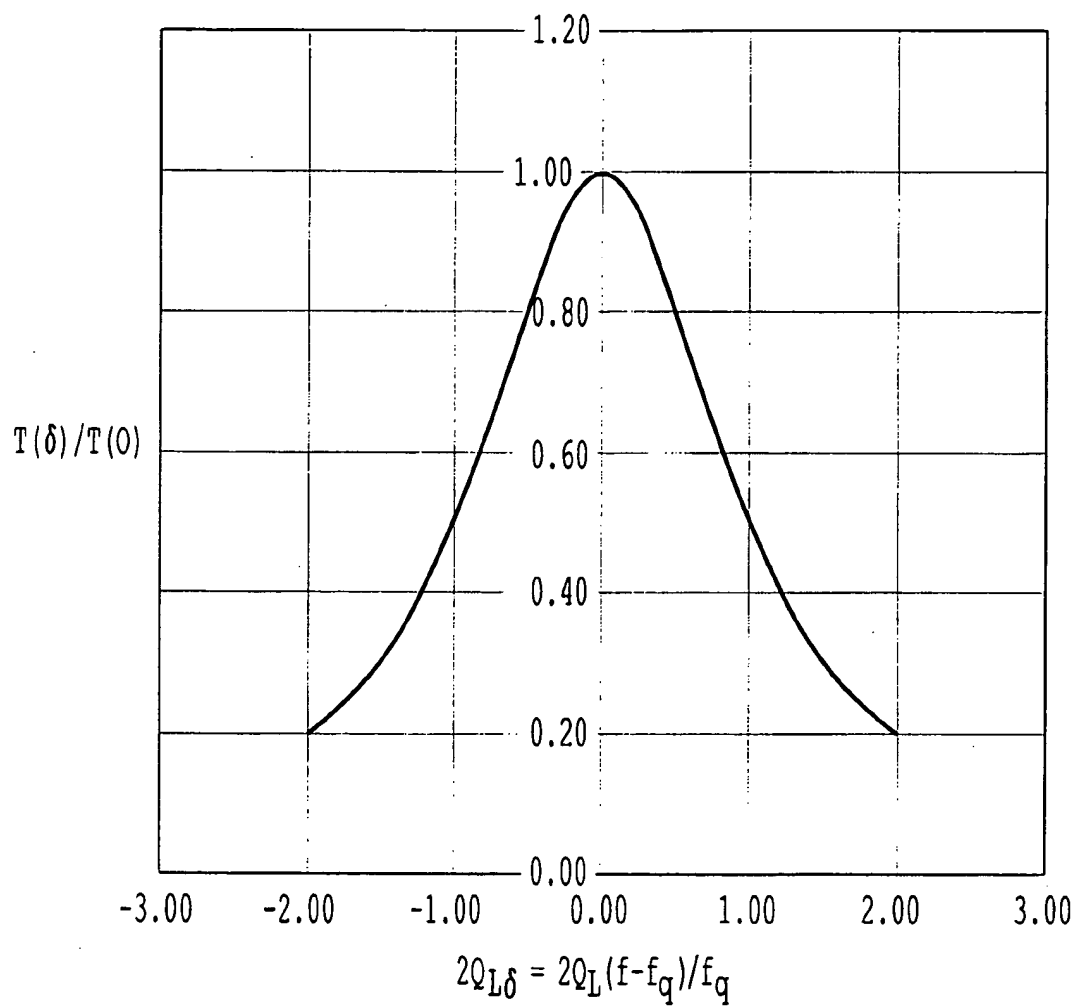
3. In a circuit for controlling a plasma chamber with a voltage controlled oscillator controlled by a feedback signal, wherein the plasma chamber acts as an open resonator, the improvement comprising:

a dither voltage source for generating a dither voltage;

an adder for adding the dither control voltage source to the feedback signal and for applying an output of the adder to a voltage control input of the voltage controlled oscillator.

4. In the circuit as claimed in claim 3, the improvement further comprising a voltage control bias signal generator for generating the feedback signal from a detector.

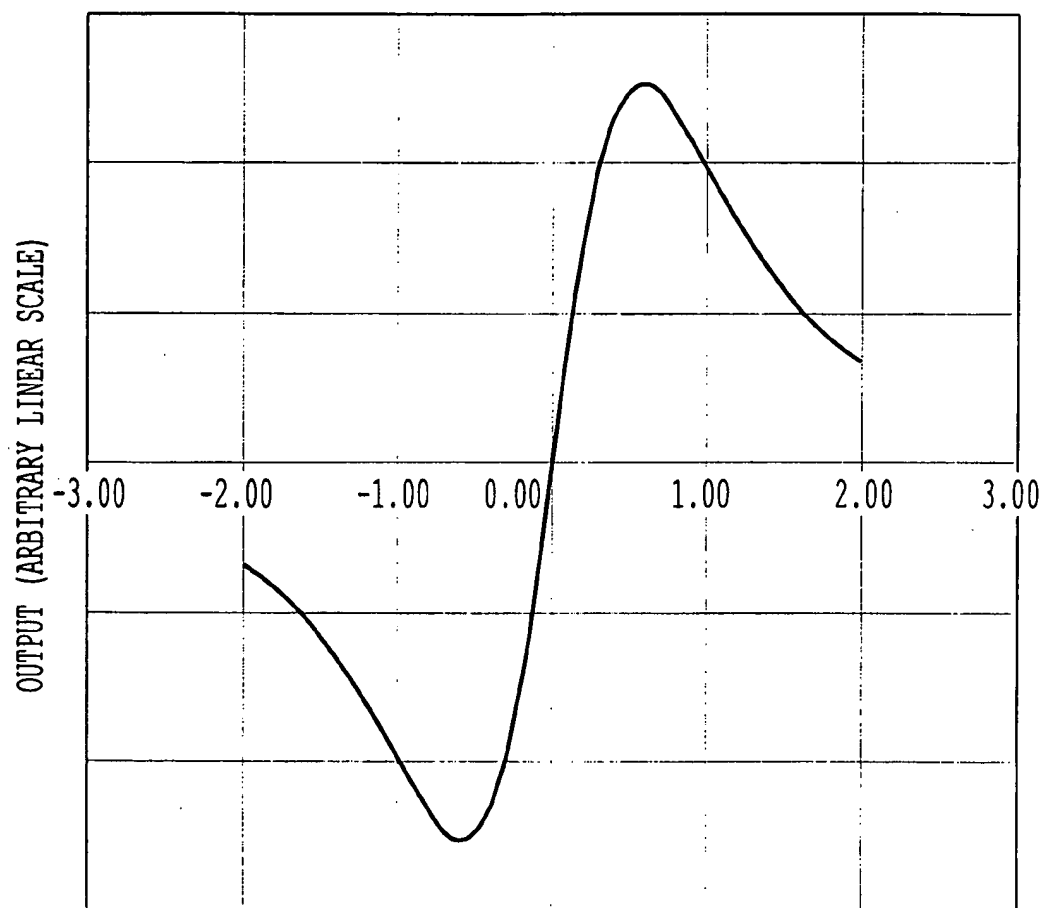
1/6

**FIG. 1**

NORMALIZED TRANSMISSION

SUBSTITUTE SHEET (RULE 26)

2/6



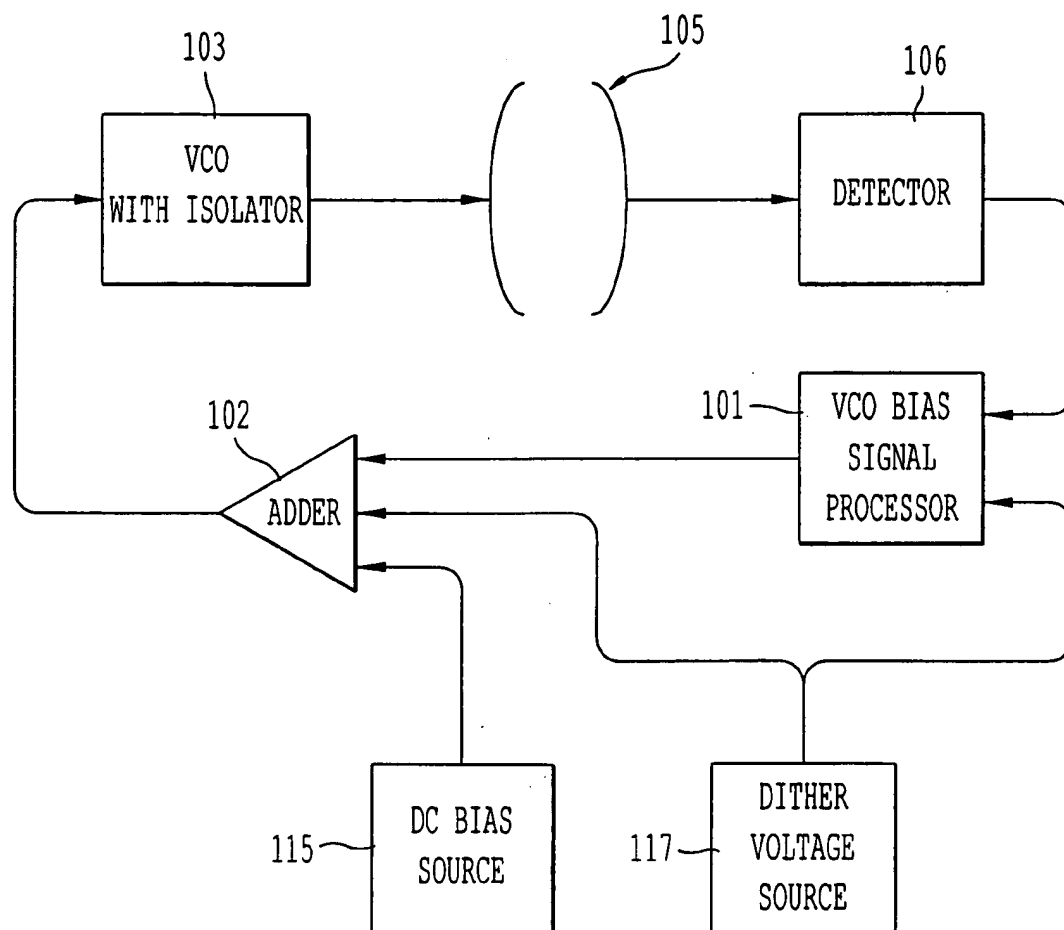
$$2Q_L \delta_s = 2Q_L (f_s - f_q) / f_q$$

**FIG. 2**

DISCRIMINATOR OUTPUT

SUBSTITUTE SHEET (RULE 26)

3/6

*FIG. 3*

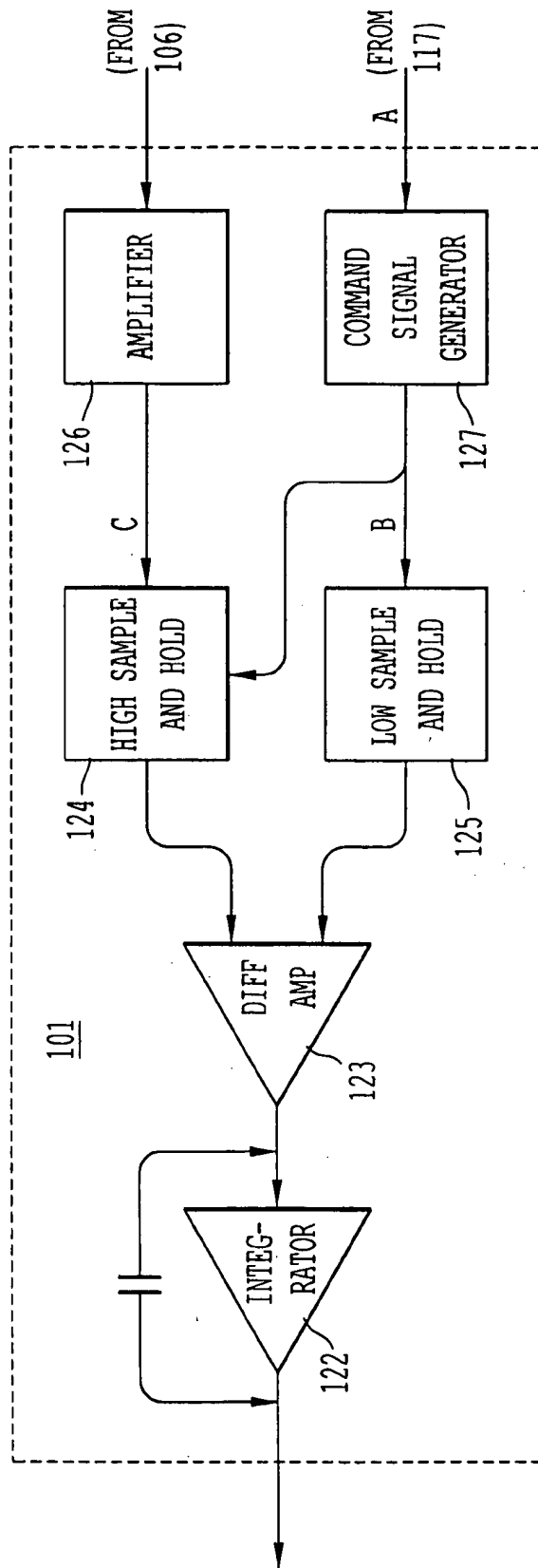


FIG. 4A

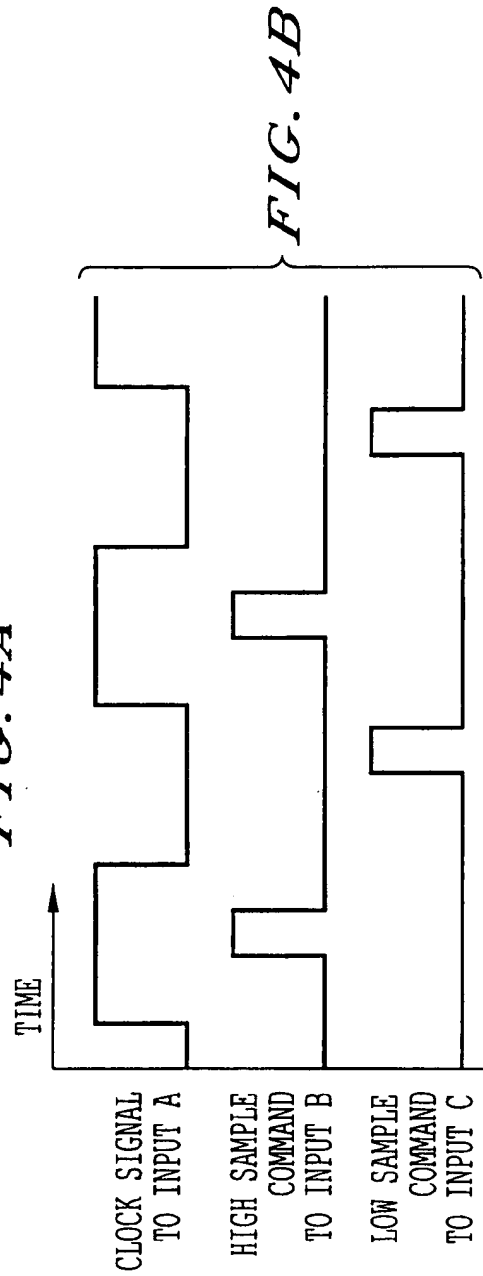


FIG. 4B

5/6

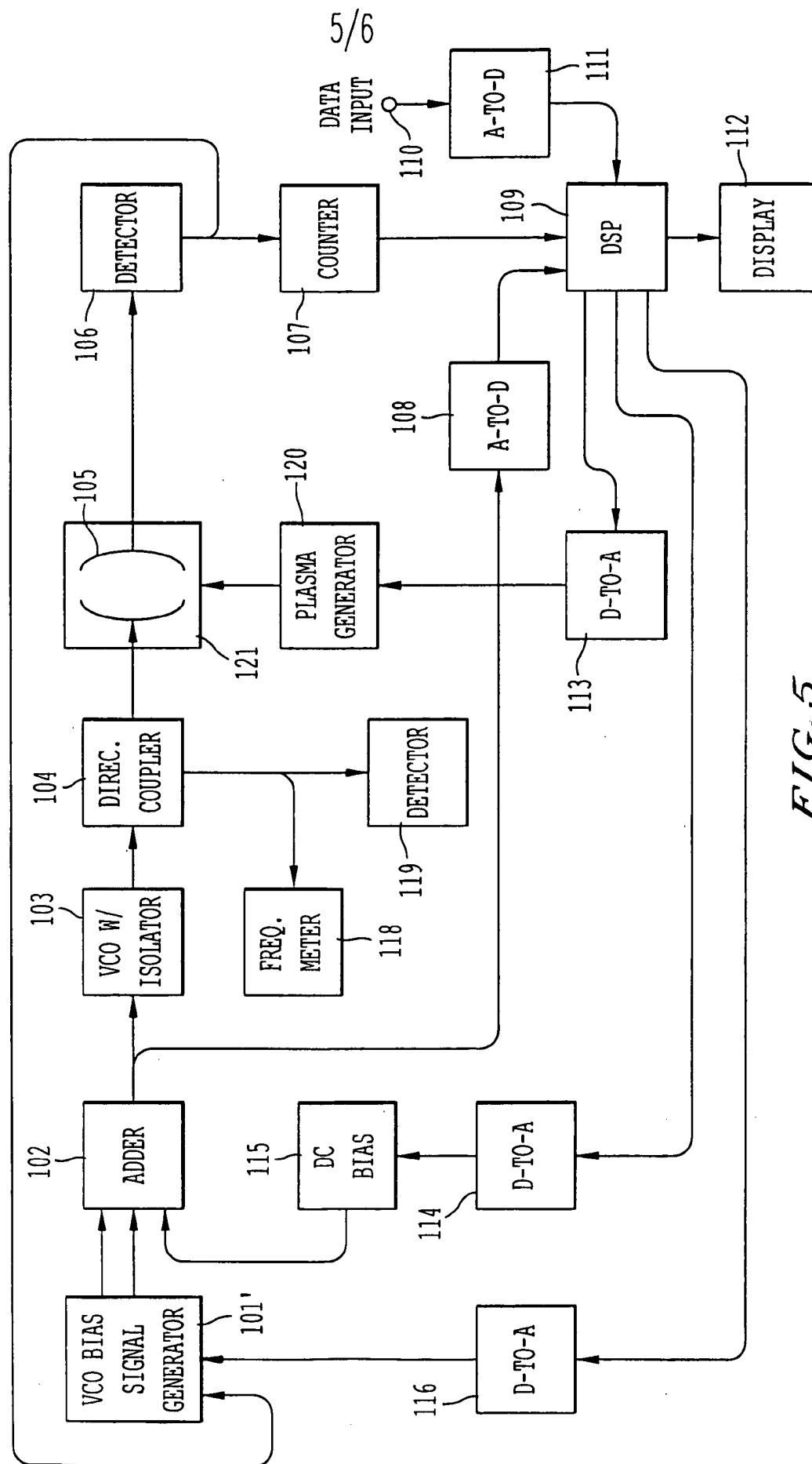
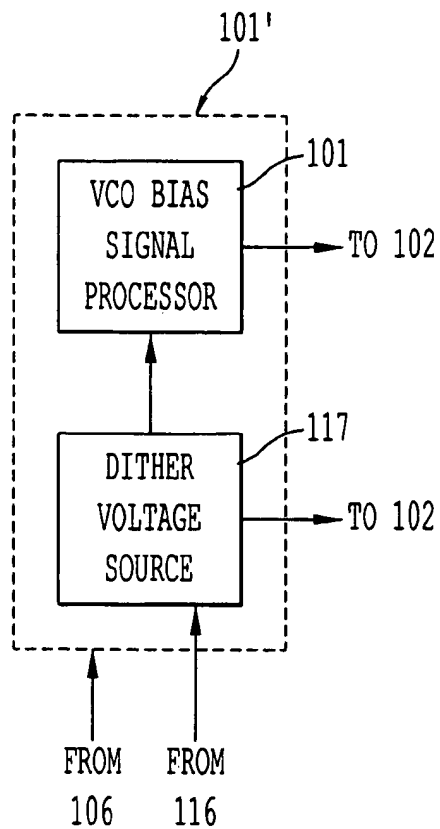
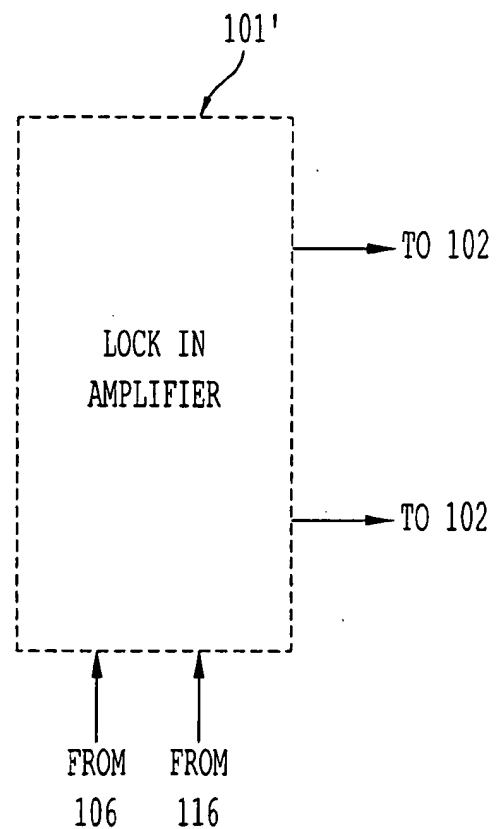


FIG. 5



6/6

*FIG. 6A**FIG. 6B*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/19540

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : H01J 7/24; H05B 31/26

US CL : 315/111.21, 111.31, 111.41, 111.51, 111.61

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 315/111.21, 111.31, 111.41, 111.51, 111.61 118/ 723AN, 723I, 723IR

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
noneElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
none

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 6,027,601 A (Hanawa) 22 February 2000 (22.02.2000), col. 3, lines 47-64.	1-4



Further documents are listed in the continuation of Box C.



See patent family annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\*

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\*

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\*

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*&amp;\*

document member of the same patent family

Date of the actual completion of the international search

14 SEPTEMBER 2000

Date of mailing of the international search report

28 NOV 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3220

Authorized officer

MICHAEL B. SINGLETON

Telephone No.

(703) 308-4003